



FINRA Arbitrations

Companies who find themselves facing a FINRA arbitration need trusted counsel who know what to expect and can thrive in such a nuanced forum. Licensed to conduct FINRA arbitrations around the country, including in Florida, our team has the experience to navigate the arbitration process efficiently and resolve claims smoothly.

McGlinchey's team represents clients in disputes that fall under state, federal, and FINRA jurisdictions. Our attorneys have handled hundreds of cases across the U.S., representing broker-dealers, investment advisors, insurance carriers, registered representatives, and financial services clients of all sizes.

Having a financial services powerhouse of McGlinchey's caliber in their corner to address FINRA disputes affords our clients reliable, seamless representation. Our counsel is based on experience across the full life of the claim, from receiving the statement of claim and initial claim investigation all the way through to final hearings before the dispute resolution panel.

While our team litigates nationwide, the majority of the work is done from our offices in mid-sized markets in the Southeastern U.S. Combining our national reach, nuanced understanding of state-level complexities, and nimble staffing adds up to efficient, attainable representation for our clients.

Our experience includes analyzing and resolving cases with complex issues involving diverse financial products, including:

- Structured Products
- Exchange Traded Funds
- Preferred Securities
- Open-end and Closed-end Bond Funds
- Derivatives

We also handle common customer disputes claiming unsuitability, churning, unauthorized trading, and selling away, among others.

Initial Contacts

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Related Industries

- Financial Services
- Insurance

Related Services

- Consumer Financial Services Litigation